

08-21-01

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Approved for use through 10/31/2002. OMB 0651-0032

PTO/SB/05 (11-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	Mo-6509/LcA 34,279
First Inventor	Christoph Schwemler
Title	Process for Producing Polycarbonate ...
Express Mail Label No.	ET146894413US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies
- ACCOMPANYING APPLICATION PAPER(S)**
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information

Examiner

of prior application No.

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

00157  
PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Aron Preis

Registration No. (Attorney/Agent)

29,426

Signature

Date

August 20, 2001

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# **FEE TRANSMITTAL**

**for FY 2000**

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement.  
 Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT (\$)** 710.00

## **Complete if Known**

Application Number	To Be Assigned
Filing Date	Herewith
First Name Inventor	Christoph Schwemler
Examiner Name	
Group / Art Unit	
Attorney Docket No.	Mo-6509/LeA 34,279

### **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.

Deposit Account Number: 13-3848  
 Deposit Account Name: Bayer Corporation

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)					
101	590	201	345		Utility filing fee	710.00
106	310	206	155		Design filing fee	
107	480	207	240		Plant filing fee	
108	690	208	345		Resubmission filing fee	
114	150	214	75		Provisional filing fee	

**SUBTOTAL (1) (\$)** 710.00

#### **2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
5	-20** = 0	18	0
7	-3** = 0	180	0
Multiple Dependent			0

\*\*or number previously paid, if greater. For Resubmissions, see below

#### **Large Entity Small Entity**

Large Entity	Small Entity	Fee	Fee	Fee	Description
Code (\$)	Code (\$)				
103	18	203	9		Claims in excess of 20
102	78	202	39		Independent claims in excess of 3
104	260	204	130		Multiple dependent claim, if not paid
109	78	209	39		** Resubmission independent claims over original patent
110	18	210	9		** Resubmission claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0.00

### **FEE CALCULATION (continued)**

#### **3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)					
105	130	205	85		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
142	2,520	147	2,520		For filing a request for reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	380	216	190		Extension for reply within second month	
117	870	217	435		Extension for reply within third month	
118	1,360	218	680		Extension for reply within fourth month	
128	1,850	228	925		Extension for reply within fifth month	
119	300	219	150		Notice of Appeal	
120	300	220	150		Filing a brief in support of an appeal	
121	260	221	130		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,210	241	605		Petition to revive - unintentional	
142	1,210	242	605		Utility issue fee (or resubmission)	
143	430	243	215		Design issue fee	
144	580	244	290		Patent issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Petitions related to provisional applications	
126	240	126	240		Submission of Information Disclosure Sheet	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	690	246	345		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345		For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0.00

### **SUBMITTED BY**

Name (Print/Type) Aron Preis

Signature

*AR*

Registration No. (Attorney/Agent) 29,426

### **Complete (if applicable)**

Telephone 412-777-8343

Date August 20, 2001

### **WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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